

FORM PTO-1380U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 10-2000)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NO.
04476.00003

U.S. APPLICATION NO. (If known, See 37 CFR 1.5)
09/787,357

INTERNATIONAL APPLICATION NO.
PCT/JP00/06491

INTERNATIONAL FILING DATE
September 22, 2000

PRIORITY DATE CLAIMED
September 22, 1999 and Dec. 21, 1999

TITLE OF INVENTION
FLUORESCENT LAMP

APPLICANT(S) FOR DO/EO/US
Hidetoshi YANO

Applicant herewith submits to the United State Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11-16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Copy of PCT/DO/EO/905 dated April 17, 2001; Request for Correction of International Filing Date w/3 attachments

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U.S. APPLICATION NO. (If known, See 37 CFR 1.5) 09/787,357	INTERNATIONAL APPLICATION NO. PCT/JP00/06491	ATTORNEY'S DOCKET NO. 04476.00003
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17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO \$ 710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00	CALCULATIONS	PTO USE ONLY																
ENTER APPROPRIATE BASIC FEE AMOUNT =	\$																	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$130.00																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">-20 =</td> <td></td> <td style="text-align: center;">X \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td style="text-align: center;">X \$ 80.00</td> </tr> <tr> <td colspan="3">Multiple dependent claims (if applicable)</td> <td style="text-align: center;">X \$270.00</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	-20 =		X \$18.00	Independent Claims	- 3 =		X \$ 80.00	Multiple dependent claims (if applicable)			X \$270.00	\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total Claims	-20 =		X \$18.00															
Independent Claims	- 3 =		X \$ 80.00															
Multiple dependent claims (if applicable)			X \$270.00															
TOTAL OF ABOVE CALCULATIONS =	\$130.00																	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated below above are reduced by 1/2.	\$																	
SUBTOTAL =	\$																	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$																	
TOTAL NATIONAL FEE =	\$130.00																	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.	\$ 40.00																	
TOTAL FEES ENCLOSED =	\$170.00																	
+	Amount to be: refunded \$ charged \$																	

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 19-0733 in the amount of **\$170.00** to cover the above fees. A duplicate copy of
 this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment
 to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)
 or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Banner & Witcoff, Ltd.
 Eleventh Floor
 1001 G Street, N.W.
 Washington, D.C. 20001-4597

Telephone: (202) 508-9100

May 15, 2001

Joseph M. Potenza
 Registration No. 28,175
B #38800

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

BOX PCT

Hidetoshi YANO

**National Phase Application
PCT/JP00/06491
Filed: September 22, 2000**

Serial No.: 09/787,357

Group Art Unit: Unassigned

Filed: March 16, 2001

Examiner: Unassigned

For: FLUORESCENT LAMP

REQUEST FOR CORRECTION OF INTERNATIONAL FILING DATE

Assistant Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

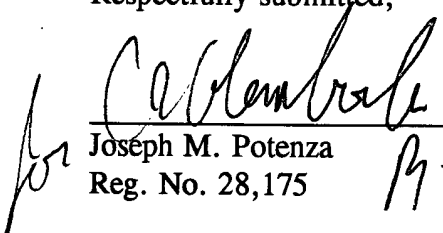
Sir:

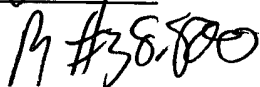
The Notification of Missing Requirements issued April 10, 2001 incorrectly lists the International Filing Date as September 22, 1999. The Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 dated March 16, 2001 clearly provides the International Filing Date as September 22, 2000. Further, Applicant submitted a copy of the original PCT Request to the PCT Receiving Office date stamped September 22, 2000. A copy of both documents as well as a copy of the USPTO March 15, 2001 date-stamped postcard are attached. Applicant respectfully requests that the International Filing Date be corrected to September 22, 2000.

It is believed that no fee is due. However should a fee be deemed necessary, the

Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is attached.

Respectfully submitted,


for Joseph M. Potenza
Reg. No. 28,175

 M #35.800

May 16, 2001

BANNER & WITCOFF, LTD.
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